

Form 1449 (Modified) Information Disclosure Statement By Applicant (Use Several Sheets if Necessary)	Appy Docket No. APXX0002 Applicant: Cazalet et al. Filing Date: 7/11/2000 Serial No.: 09/613,685 Group: 2751 3628
--	---

U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
70	A	4,803,632	2/7/1989	Frew et al.	364	464.04	5/9/1986
	B	5,794,212	8/11/1998	Mistr, Jr.	705	26	4/10/1996
	C	5,799,151	8/25/1998	Hoffer	395	200.34	7/24/1995
	D	5,842,187	11/24/1998	Williams	705	412	3/22/1995
	E	5,870,140	2/9/1999	Gillberry	348	160	9/25/1996
	F	5,897,607	4/27/1999	Jenney et al.	702	62	2/28/1997
	G	5,905,975	5/18/1999	Ausubel	705	37	1/2/1997
	H	5,924,486	7/20/1999	Ehlers et al.	165	238	10/9/1999
	I	5,933,355	8/3/1999	Deb	364	483	5/4/1995
	J	6,021,398	2/1/2000	Ausubel	705	37	5/3/1999

Foreign Patent or Published Foreign Patent Application

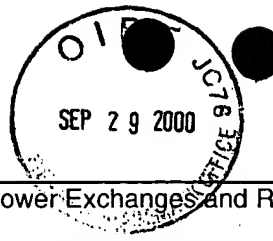
Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
97	K	0 893 775 A1	1/27/1999	EPO	G06F	17/60	X	

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
SP	L	Cazalet & Samuelson "E-Commerce for All Electricity Products", Public Utility Fortnightly, 2/1/2000
	M	Samuelson, R. "Flow Based Transmission Markets Are the Key to Competitive Electricity Markets". 3/27/2000
	N	www.houstonstreet.com/home.asp , 10/8/1999
	O	Print-out from Avista Corporation Home Page, 10/8/1999
	P	www. Bloomberg.com , 10/8/1999
	Q	"Flowgate Market." APX handout. 1/28/2000
	R	Stremel, J. "A Market Solution to Intra-zonal and Inter-Zonal Congestion" APX handout, 4/3/2000
	S	Samuelson, R. "Flowgate Transmission Demo", APX handout, 4/6/2000
	T	Stremel, J. "Liquid Transmission Markets, A how-to guide", APX handout, 11/11/1999
	U	Samuelson, R. "Compilation of material from NERC web-site", downloaded before 12/13/1999, printed 7/3/2000, with a cover letter explaining the contents
	V	Samuelson, R. "Transfer Distribution Factors Walkthrough of material from NERC web-site", 7/4/2000

Samuelson R

11/11/03



W	Cazalet, E. "Independent For Profit Power Exchanges and RTO Lite", APX handout, 5/28/1999.
X	Birman, et. al., Chapter 1, "Introduction", <u>Reliable Distributed Computing with the Isis Toolkit</u> , ISBN 0-8186-5342-6, © 1994
Y	Birman, et. al., Chapter 11, "Reliable Communication in the Presence of Failures", <u>Reliable Distributed Computing with the Isis Toolkit</u> , ISBN 0-8186-5342-6, © 1994

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RECEIVED
OCT 16 2000
TC 2700 MAIL ROOM